

FORM PTO-1390
(REV 11-2000)

ATTORNEY DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

4267-4000

09/868435

U.S. APPLICATION NO. (If known, see 37 CFR 1.51)

09/868435

INTERNATIONAL APPLICATION NO.
PCT/GB99/04318INTERNATIONAL FILING DATE
17 December 1999 (17.12.99)EARLIEST PRIORITY DATE CLAIMED
17 December 1998 (17.12.98)

TITLE OF INVENTION

Method and Apparatus For The Distribution of Digitised Information On Demand

APPLICANT(S) FOR DO/EO/US

Daniel DOLL-STEINBERG

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and 21 indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:


11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 154(d)(2) with copy of Statement Under 37 CFR Section 1.821(f) and WIPO Standard ST.25 as filed with the International Bureau of WIPO.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:
 - ☐ Power of Attorney (part of combined Declaration and Power of Attorney)
 - ☐ Copy of Notification Concerning Submission or Transmittal of Priority Document (form PCT/IB/304)

0958435-100101

ATTORNEY'S DOCKET NUMBER

4267-4000

CALCULATIONS **PTO USE ONLY**



International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1) - (4).....\$100.00

\$	860.00
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TOTAL OF ABOVE CALCULATIONS =	\$ 2422.00	
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\$	
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\$ 2,422.00

\$	
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\$ 2,422.00

\$	0.00
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\$2,422 .00

Amount to be refunded:	\$
charged:	\$

- a. ☒ A check in the amount of \$ 2,422.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 13-4500, ORDER NO. _____ in the amount of \$ _____.00 to cover the above fees.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-4500, ORDER NO. 4267-4000. **A duplicate copy of this sheet is enclosed.**
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Signature _____

Name : Walter G. Hanchuk

Registration Number 35,179

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Daniel DOLL-STEINBERG Group Art Unit: TBA

Serial No : TBA Examiner: TBA

Filed : June 14, 2001

For : METHOD AND APPARATUS FOR THE DISTRIBUTION OF DIGITISED
INFORMATION ON DEMAND

PRELIMINARY AMENDMENT

COMMISSIONER OF PATENTS
Washington, D.C. 20231

Sir:

Please amend the above-referenced application as follows:

IN THE CLAIMS:

Please cancel claims 45 and 46 without prejudice and disclaimer. Please also amend the claims as follows:

4. (Amended) A method as claimed in claim 1 in which the release code is electronically stored on the media along with the customer-selected information.

6. (Amended) A method as claimed in claim 1 in which the media is a CD or a DVD; the release code includes a licence number; and in which the licence number is printed onto the surface of the CD or DVD.

8. (Amended) A method as claimed in claim 6 including producing a



certificate of authenticity at the local site containing the release code.

12. (Amended) A method as claimed in claim 10 in which the personalisation is electronically stored on the media along with the customer-selected information.

13. (Amended) A method as claimed in claim 1 in which the release code is issued at the remote site and is sent electronically to the local site on receipt of the customer request.

14. (Amended) A method as claimed in claim 1 further including storing at the local site a plurality of customer requests, receiving at the remote site the plurality of customer requests; and issuing from the remote site and sending to the local site a plurality of release codes in response to the plurality of customer requests.

15. (Amended) A method as claimed in any one of claim 1 in which a plurality of release codes is issued at the remote site and transmitted electronically in advance to the local site, one of said release codes being associated with the customer on receipt of the customer request.

16. (Amended) A method as claimed in claim 1 including keeping a cumulative record at the local site of all supplied media and associated release codes, and forwarding the record electronically to the remote site.



17. (Amended) A method as claimed in claim 1 in which the customer request is made by a customer physically present at the local site.

18. (Amended) A method as claimed in claim 1 in which the customer request is made by a customer not physically present at the local site, via a communications medium, with the media being prepared at the local site for later collection by or delivery to the customer.

19. (Amended) A method as claimed in claim 1 further including receiving a request from the customer for a student sale, the request including a student number; validating the student number with reference to a representation of valid student numbers; and authorising the student sale depending upon the validation.

20. (Amended) A method as claimed in claim 1 further including receiving a request from the customer for an upgrade sale, the request including a previous licence number; validating the previous licence number with reference to a representation of valid previous licence numbers; and authorising the upgrade sale depending upon the validation.

21. (Amended) A method as claimed in claim 1 further including storing at the local and/or remote site a code; and precoding the media with the code before storage of the customer selected information.

23. (Amended) A method as claimed in claim 1 further including receiving from the customer a request including a user-defined selection of products; and storing at the



local site the request for user-defined selection of products for access by the customer.

28. (Amended) Apparatus as claimed in claim 26 in which the release code includes a licence number; and in which there is further included a certificate of authenticity generator arranged to generate a certificate of authenticity including the licence number or the customer's name, or both.

29. (Amended) Apparatus as claimed in any one of claim 26 in which the media generator is arranged to include user-defined personalisation on the surface of the media, or within the digitized information stored on the media.

30. (Amended) Apparatus as claimed in any one of claim 26 in which the media generator includes a CD or DVD writer.

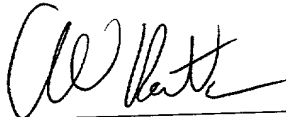
AUTHORIZATION

If the Commissioner determines that an additional fee is due, or an additional extension of time required, applicant petitions for the extension of time, and authorizes the Commissioner to charge any required fee, or credit any overage, to deposit account 13-4500, Order No. 4267-4000. A duplicate of this sheet is included.

096645-10001
TOP SECRET



Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

By: 
Charles A. Rattner
Reg. No.: 40,136

Date: June 14, 2001

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154
(212)758-4800 / (212)751-6849 (facsimile)

098645-1001
T.D.001-5349986

APPENDIX

4. (Amended) A method as claimed in [any one of the preceding claims] claim 1 in which the release code is electronically stored on the media along with the customer-selected information.

6. (Amended) A method as claimed [in any one of the preceding claims] in claim 1 in which the media is a CD or a DVD; the release code includes a licence number; and in which the licence number is printed onto the surface of the CD or DVD.

8. (Amended) A method as claimed in claim 6 [any proceeding claim] including producing a certificate of authenticity at the local site containing the release code.

12. (Amended) A method as claimed in claim 10 [and claim 11] in which the personalisation is electronically stored on the media along with the customer-selected information.

13. (Amended) A method as claimed in claim 1 [any one of the preceding claims] in which the release code is issued at the remote site and is sent electronically to the local site on receipt of the customer request.

14. (Amended) A method as claimed in claim 1 [any one of the proceeding claims] further including storing at the local site a plurality of customer requests, receiving at the remote site the plurality of customer requests; and issuing from the remote site and sending to the

local site a plurality of release codes in response to the plurality of customer requests.

15. (Amended) A method as claimed in any one of [claims] claim 1 [to 12] in which a plurality of release codes is issued at the remote site and transmitted electronically in advance to the local site, one of said release codes being associated with the customer on receipt of the customer request.

16. (Amended) A method as claimed in [any one of claims] claim 1 [to 12] including keeping a cumulative record at the local site of all supplied media and associated release codes, and forwarding the record electronically to the remote site.

17. (Amended) A method as claimed in [any one of claims] claim 1 [to 12] in which the customer request is made by a customer physically present at the local site..

18. (Amended) A method as claimed in [any of claims] claim 1 [to 16] in which the customer request is made by a customer not physically present at the local site, via a communications medium, with the media being prepared at the local site for later collection by or delivery to the customer.

19. (Amended) A method as claimed in [any one of the preceding claims] claim 1 further including receiving a request from the customer for a student sale, the request including a student number; validating the student number with reference to a representation of valid student numbers; and authorising the student sale depending upon the validation.

20. (Amended) A method as claimed in [any one of the preceding claims] claim 1 further including receiving a request from the customer for an upgrade sale, the request including a previous licence number; validating the previous licence number with reference to a representation of valid previous licence numbers; and authorising the upgrade sale depending upon the validation.

21. (Amended) A method as claimed in [any one of the preceding claims] claim 1 further including storing at the local and/or remote site a code; and precoding the media with the code before storage of the customer selected information.

23. (Amended) A method as claimed in [any one of the preceding claims] claim 1 further including receiving from the customer a request including a user-defined selection of products; and storing at the local site the request for user-defined selection of products for access by the customer.

28. (Amended) Apparatus as claimed in claim 26 [or claim 27] in which the release code includes a licence number; and in which there is further included a certificate of authenticity generator arranged to generate a certificate of authenticity including the licence number or the customer's name, or both.

29. (Amended) Apparatus as claimed in any one of [claims 26 to 28] claim 26 in which the media generator is arranged to include user-defined personalisation on the surface of

the media, or within the digitized information stored on the media.

30. (Amended) Apparatus as claimed in any one of [claims 26 to 29] claim 26
in which the media generator includes a CD or DVD writer.

FOOTNOTES - 100101